A meeting of the Trustees of the UC Santa Barbara Foundation was held on Saturday, October 28, 2017 in Corwin Pavilion at the University of California, Santa Barbara, pursuant to the notice specified in the bylaws.

**Trustees Attending (52):**
- Sarah Argyropoulos
- John Arnhold
- Laurie Benton Kauth
- Barrie Bergman
- Henning Bohn
- Richard Breau
- Paula Bruce
- Daniel Burnham
- Beverly Colgate
- Karen Bedrosian Coyne
- Deanna Dehlsen
- Tunç Doluca
- Glenn Duval
- Betty Elings Wells
- Gary Erickson
- John Gerngross, Jr.
- Marc Grossman
- Gus Gurley
- Thomas Harriman
- W. Roger Haughton
- David Henke
- Judith Hopkinson
- William "Packy" Jones
- Peter Jordano
- R. Michael Koch
- Jack Krouskup
- Heiu Le
- R. Marilyn Lee
- David Marshall
- Darryl McCall
- Kathryn McKee
- Duncan Mellichamp
- Steven Mendell
- Louise Pahl
- Conner Rehage
- Richard Ryu
- Jean Schuyler
- Mark Schwartz
- Eric Sonquist
- Frederic Steck
- Susan Tai
- James Taylor
- George Thurlow
- David Valentine
- Kent Vining
- Claudia Webster
- Howard Wenger
- Gary Wilcox
- Marie Williams
- Susan Worster
- Henry T. Yang

**Trustees Not Attending (44):**
- Ann Adams
- David Adishian
- Janet Alpert
- Richard Auhll
- Robert Ballard
- Mark Bertelsen
- Edward Birch
- Gwendolyn Brown
- Marcy Carsey
- Ann Cady Cooper
- Stephen Cooper
- Elizabeth Gabler
- Frederick Gluck
- Norman Habermann
- Eva Haller
- Roy Hardiman
- George Holbrook
- Blair Hull
- Carl Lindros
- Mark Linehan
- Lillian Lovelace
- John Marren
- Alex Pananides
- Ceil Pulitzer
- Diana Raab
- Lady Leslie Ridley-Tree
- Kenneth Slaught
- Phillip Spector
- David Stamps
- Judith Stapelmann
- Michael Stewart
- Philip White
- Bruce Wilcox
Stephen Crowe  |  Scott Frank  |  Richard Williams  
William Dinsmore  |  Pamela Meyer Lopker  |  Jeffrey Worthe  
Diandra Douglas  |  Mary Moslander  |  
Robert Duggan  |  Kristen Nesbit  |  

**Special Guests and Speakers:**

**UCSB First Students:**  
Alex Gorman,  
Emily Day  
Alperan Koliva  
Sarah Lynch  
Deepika Chandrashekar  

**Student Athletes:**  
Amanda Atanasson  
Sarah Snyder  
Lena Mayer  

**Rex Fukuchi, musician**  
Danny Toomey, musician  
Milo Weising, musician  

**Development Office and Foundation Staff Members:**

**Kelly Adams**  |  Venilde Jeronimo  |  Dan Oh  
**Hallie Anderson**  |  Rachel Johnston  |  Lisa Oh  
**Elizabeth Badart**  |  Stephen Kearns  |  Ilana Ormond  
**Marcel Badart**  |  Jeanne Kearns  |  Chris Pizzinat  
**Christina Baglas**  |  Jodi Kirkland  |  Steve Ramirez  
**Barb Beainy**  |  Nicole Klanfer  |  Sandy Robertson  
**Brian Boyer**  |  Camille Kurreck  |  Patricia Sadeghian  
**Polly Bustillos**  |  Melodie Lee  |  Percy Sales  
**Melinda Douglas**  |  Scott Lemere  |  Devin Scott  
**Matt English**  |  John Lofthus  |  Megan Shoemaker  
**Brandi Fraser**  |  Dana Loughlin  |  David Silva  
**Matt Fratus**  |  Marcie Marsh  |  Julie Sperling  
**Brandon Friesen**  |  Kase Martis  |  Matt Stock  
**Susan Goodale**  |  Aditee Maskey  |  Meghan Tanner  
**Leslie Gray**  |  Ron McConnell  |  Rocío Torres  
**Janice Hartoch Taylor**  |  Holly McCord Duncan  |  Christian Treitler  
**Lynn Hawks**  |  Toni Miller  |  Rubi Trevino  
**Waid Ibrahim**  |  Melissa Miller  |  Julie Weiner  
**Bethany Innocenti**  |  Kristi Newton  |  Judith Wells
Chair’s Opening—Richard Breaux

- Richard Breaux called the meeting to order at 9:00 a.m.
- The minutes of the May 20, 2017 meeting were approved.
- Thanked the morning musicians.
- Congratulated Tom Harriman for his Honorary Alumni Award.
- Expressed congratulations for the New Trustee Welcome Dinner at the Library and the Mosher Alumni House 10th Anniversary Celebration events, and collaboration between Beverly Colgate and George Thurlow.
- Welcomed all new elected and appointed Trustees.
- Thanked Lady Leslie Ridley-Tree for her service as the Board Chair from 2015-2017.
- Congratulated Beverly Colgate, the development staff and trustees regarding $100M raised last fiscal year.
- Board Chair’s goals in 3 areas include:
  - Define Long-term Vision of UCSB
    - Work on articulating Vision.
    - Better University promotion, a responsibility as Trustees.
  - Grow Philanthropy by using Planned Gifts
    - Legacy Circle, established in 1999, around 300 committed donors.
    - Last 2 years, received 38 committed gifts, next 2 years; help the development team to generate 45 planned commitments & gifts.
    - 12 Trustees committed gifts, increase to 25.
    - Interested in the intent, not the dollar amount.
  - Increase Diversity on the Trustee Board
    - Chancellor campus updates report the tremendous diversity in student population.
    - Our board in general should represent the diversity of our student population so we can better represent the university.
    - Fill board slots with qualified, capable, intelligent, generous candidates with diversity in age, gender, ethnicity, etc.

Trustee Testimonial Video—Dr. Richard and Linda Ryu

- Trustees watched a brief video featuring Dr. Richard and Linda Ryu and their philanthropic efforts and service to the University.
- Dr. Ryu made a brief remark to the board and thanked Chancellor Yang and Dilling for their leadership. He commended UCSB Athletics dedicated staff and student athletes.

Executive Director’s Report – Beverly Colgate

- Thanked the Ryu’s and Greg Kim, a 2nd year student working with the Athletics department, on video projects.
- Welcomed Richard Breaux as the new Chair.
- Fourth year out of the past 6 that we have surpassed $100M+.
- Planned Giving donor pool should provide 20-25% of our total base fundraising from individuals.
- Greater support now coming from individuals, especially at the 7 figure, single gift level.
• 15% of private gifts (scholarships, fellowships, awards) were for students.
• Endowments, now over $300M, are critically important for continued growth for sustaining and recruiting our faculty.
• Currently for this fiscal year we are at $20.3M raised, on track for $100M.
• This year we will hold the 24 hour online Give Day on April 12, 2018.

Chancellor’s Report—Henry T. Yang
• Chancellor thanked Richard and Kathy Breaux and all Foundation Board Trustees.
• Welcomed New elected Trustees:
  o Tunç Doluca ’81 – President and CEO, Maxim Integrated
  o John Gerngross, Jr. ’82 – Founder, Condor Engineering, Inc.
  o Gus Gurley ’78, ’83 – Co-founder Digital Instruments
  o David Henke ’78 – Former Senior Vice President of Engineering and Operations, LinkedIn
• In Memorium: Former Trustee Robert Talley
  o Passed away on September 11, 2017.
  o Served as a Foundation Trustee from 1987 to 2010, and in 1999, was a recipient of our Honorary Alumni Award.
• Transitions on Campus:
  o Dr. Charles Hale, was appointed SAGE Sara Miller McCune Dean of Social Sciences, effective January 1, 2018.
  o Deputy University Librarian, Mr. Alan Grosenheider, has been serving as our Acting University Librarian.
  o Dean of Extension, Professor Michael T. Brown, was appointed Provost and Executive Vice President, Academic Affairs at UCOP, effective September 5, 2017.
  o Vice Chancellor for Administrative Services, Marc Fisher, was appointed Vice Chancellor for Administration at UC Berkeley, effective September 18, 2017.
  o Professor Emeritus, Joel Michaelsen, has graciously agreed to serve as our Interim Vice Chancellor for Administrative Services, effective September 18, 2017.
• Student Updates:
  o 2017 Commencement - 5,770 students joined our alumni family, now more than 200,000 strong.
  o New Student Convocation held on September 25, 2017.
• Fall 2017 Enrollment Update:
  o 81,824 freshman applications; 98,000 total.
    o Freshman class: ~4,600
      ▪ Average GPA: 4.08
      ▪ Average SAT: 1916
      ▪ Underrepresented minorities: 30%
      ▪ First-generation: 40%
    o Transfers: ~2,200
    o Graduate students: ~800
  o Among our incoming student body, 81% are from California and 18% are from out of state and around the globe.
• San Joaquin Villages Opened Fall 2017:
- 178 undergraduate student apartments (1,000 beds).
- 8 apartments for faculty and residential staff.
- New Portola Dining Commons Opened Fall 2017.
- September, the White House announced the decision to end the Deferred Action for Childhood Arrivals program (DACA). UC Santa Barbara and UCOP have been working with our students to offer support, advocate on their behalf, and address their needs. During last week’s Protect Dreamers Higher Education Week, the Chancellor joined President Napolitano and our sister campuses in signing a letter urging Congress to take action to protect DACA students.

**Campus Updates and Highlights:**
- Times Higher Education of London, for the third consecutive year, ranked UC Santa Barbara among the Top 10 institutions worldwide for producing Nobel laureates this century (#9).
- Entertainment magazine *The Wrap* ranks UC Santa Barbara at No. 30, highlighting our Carsey-Wolf Center.
- Among 150 universities examined by The Washington Post, UC Santa Barbara ranked No. 2 for our percentage of Fall 2015 freshmen who qualified for Pell Grants. At 39%, we came in behind only UC Irvine’s 41%.
- Best of Santa Barbara 2017 Readers Poll chose University of California, Santa Barbara for best “Place to Work.”
- College of Creative Studies turned 50. A diverse slate of special events will mark this milestone anniversary, including the Research and Creative Activity Conference at Loma Pelona on November 4, 2017. Special thank you to Interim Dean Kathy Foltz for her leadership.
- Congratulated our Art, Design and Architecture Museum, which was reaccredited this fall by the Alliance of American Museums.
- UC Santa Barbara has received a 2017 CASE Educational Fundraising Award, an honor given to superior fundraising programs at educational institutions across the country. Congratulations to our Trustees and Office of Development.

**Special Recognition:**
- Trustee Tom Harriman, a visionary and philanthropic leader of our Brain Initiative and neuroscience research, received our Honorary Alumni Award earlier this month.
- In June 2017, UC Santa Barbara joined the recently launched Alliance for Southern California Innovation led by tech entrepreneur Steve Poizner. Vice Chancellor for Research Joe Incandela is serving on the board. The nonprofit is aimed at nurturing and accelerating the growth of an entrepreneurial ecosystem in Southern California.
- UC Santa Barbara named NSF Neurotechnology Hub for optical brain imaging, with $9 million award. The project includes Professors John Bowers (our Kavli Professor of Nanotechnology), Assistant Professor Molecular, Cellular & Developmental Biology, Michael Goard, and Professor & Vice-Chair Electrical & Computer Engineering, Luke Theogarajan.
Under a $14.9 million Defense Department contract, UC Santa Barbara researchers, including Duggan Professor Craig Montell, and five other UC labs, are working to combat Zika.

Our Brain Initiative, directed by Harriman Professor of Neuroscience Research, Ken Kosik, won the Hearst Foundation award to amplify interdisciplinary effort and encourage donor involvement.

The Energy Department invested in solid-state lighting technology with $1 million for UC Santa Barbara project to improve efficiency of green LEDs.

Materials Research Laboratory received its 6th consecutive multimillion dollar grant from NSF to fund operation as an NSF Materials Research Science and Engineering Center.

On October 5, 2017, Distinguished Professor Charles Samuel delivered the 62nd Annual Faculty Research Lecture.

Professor of Materials, Shuji Nakamura, received a 2017 Mountbatten Medal by Great Britain’s Institution of Engineering and Technology.

Professor of Linguistics, Bernard Comrie, received British Academy’s Neil & Saras Smith Medal.

Professor of Chemistry, Alison Butler, received 2018 American Chemical Society’s Alfred Bader Award in Bioinorganic or Bioorganic Chemistry.

Professor of English, Alan Liu (PI), and Assistant Professor of English, Jeremy Douglass (Co-PI), received $1.1 million Andrew W. Mellon Foundation grant.

Dehlsen Endowed Chair in Environmental Studies, David Pellow, and four other colleagues were awarded a $225,000 Andrew W. Mellon Foundation grant for a seminar on “Energy Justice in Global Perspective.”

Assistant Professor of Global Studies, Javiera Barandiaran
Assistant Professor of Film and Media Studies, Mona Damluji
Associate Professor of History, Stephan Miescher
Professor of Film and Media Studies, Janet Walker

Professor of Literature and American Studies, Chris Newfield, received a National Endowment for the Humanities grant of $175,000 to research metrics in higher education; one of only 12 in the U.S. under NEH’s Collaborative Research Program.

Professor of Ecology, Evolution, and Marine Biology, Susan Mazer, received Santa Barbara Botanic Garden’s Honorable John C. Pritzlaff Conservation Award.

Professor of Electrical & Computer Engineering, Sanjit Mitra, received 2017 IEEE Educational Activities Board Vice President’s Award.

Assistant Professor of History and of Black Studies, Terence Keel, has received this year’s Harold J. Plous Award, one of our university’s most prestigious faculty honors.

Associate Professor of Film and Media Studies, Ross Melnick, was named the 2017 Academy Film Scholar by the Academy of Motion Picture Arts and Sciences.

Associate Professor of Earth Science, Alexander Simms Fulbright, received a U.S. Scholar Award.

Assistant Professor of Political Science, Neil Narang, completes prestigious International Affairs Fellowship at Pentagon, advising senior officials on nuclear proliferation.
• Upcoming: All Gaucho Reunion, April 26-29, 2018.
• Isla Vista Update:
  o Last month, UC Santa Barbara volunteers teamed up to clean Isla Vista as part of United Way’s campaign to improve neighborhoods.
  o Halloween 2017:
    ▪ Safety Tips and information provided to local residents.
    ▪ Social media campaigns used to reach out to residents and visitors.
    ▪ Alternative activities provided.
• UCSB no longer in the top ten of the Princeton Review’s “Top 10 Party Schools of 2018.”
• Out of more than 2,000 schools, UC Santa Barbara made the “Top 100 Safest Colleges in America” at No. 75, based on data from the FBI’s Uniform Crime Reporting and the National Center for Education Statistics.
• Appreciations:
  o Thanks to Marcy Carsey, Bruce and Susan Worster, and Glenn and Bettina Duval for sponsoring A&L’s “An Afternoon with Joe Biden” on October 21, 2017.
  o Trustee George Holbrook: $4 million, to support the George Holbrook Fellowship Fund in our Institute for Energy Efficiency.
  o Jeff (’66) and Judy Henley (H’09): $3 million, to support construction of Henley Hall, the new home for our Institute for Energy Efficiency, bringing the Henleys’ total commitment to $29 million.
  o Gordon and Betty Moore Foundation: $2.5 million, to support the Thirty Meter Telescope, as well as, $370,840 to support MSI research of transporter function and kinetics in uncultivated marine microbes.
  o Trustee John (’75) and Jody Arnhold: $1.25 million, to endow the Arnhold Theater and Dance Production Fund, providing a foundation of support for the department’s programs for generations to come and inspiring others to join them.
  o Charles and Merryl Zegar: $1.04 million, to support three projects in environmental science and solutions:
    ▪ Environmental Impact and Sustainability of Global Food Systems (NCEAS Director Ben Halpern).
    ▪ Anticipating Climate Change Impacts on Ocean Aquaculture (NCEAS Director Ben Halpern).
    ▪ Coral Reef Bright Spots: Helping Coral Reefs Survive Climate Change (Associate Professor Deron Burkepile).
  o Michael (H ’11) and Anne Towbes: $1 million, to support the Louis H. Towbes Graduate Fellowship Program Fund.
  o The Kavli Foundation: $900,000, to support the KITP General Endowment Fund and $600,000 to support KITP’s Santa Barbara Advanced School of Quantitative Biology.
  o Amy P. Goldman Foundation: $600,000, to support polycystic kidney disease research in our Neuroscience Research Institute.
  o James Argyropoulos: $530,000, to support Hellenic Studies, including expanding public cultural programming, establishing a graduate fellowship, and building on the existing endowment in the Classics Department.
o Trustee Gary (’69), (’72) and Sue Wilcox (’70), (’74): $505,000; $500,000 to support a proposed chair in entrepreneurial economics, and $5,000 to support Alumni Affairs programming.

o Packard Humanities Institute: $500,000, to support our library’s American Discography Project.

o Pitch and Catherine Johnson: $500,000, to support the William K. Bowes Memorial Fund for Faculty Recruitment and Retention in MCDB.

o David and Lucile Packard Foundation: $475,968, to support the MSI research project PISCO Science for an Informed Society.

o Catena Foundation: $471,357, to support Bren’s Catena Foundation Sustainable Water Market Program Fifth Cohort.

o W.K. Kellogg Foundation: $372,988, to support the Bren School Eco-E Fellowship Program Fund.

o George (’51) and Joan Kerns: $300,000, to support the George P. and Joan B. Kerns Fellowship in Electrical and Computer Engineering.

o The Simons Foundation: $246,000, to support the MSI research of Professor David Valentine and postdoctoral scholar Nick Peng.

o The Errett Fisher Foundation: $225,000, to support the Daryl and Marguerite Errett Discovery Award in our College of Engineering.

o Trustee Duncan and Suzanne Mellichamp: $200,000; $100,000 toward the creation of a new chair in Systems Engineering in our Department of Chemical Engineering, and $100,000, to support our North Campus Open Space.

o Daniel and Dianne Vapnek: $200,000, to support Bren’s Sustainable Fisheries Group.

o Dorothy Largay & Wayne Rosing: $250,000, to Arts & Lectures.

o Audrey Hillman Fisher Foundation: $250,000, to Arts & Lectures.

o Jody & John Arnold: $175,000, to Arts & Lectures.

o Kay Family: Professor Herbert C. Kay (1937-2017), an important and valuable collection of Civil War era documents, books, photographs, and autographed letters for our Library’s Special Collections. A superb tool for teaching and research, the collection will be an enduring reminder of Herb’s legacy as an iconic member of our Economics faculty in the mid-1960s.

Key Note: “UCSB Research”
Introduction – Chancellor Henry T. Yang

UCSB Office of Research, Review on Funding and Research.
Presentation, “UCSB Research” – Joe Incandela, Vice Chancellor for Research, Professor of Physics, Joe and Pat Yzurdiaga Chair in Experimental Science.

Trustee Q&A

Breakout Sessions:

Breakout A:
“Letters to a Certain Mr. Miranda: The Lost Voices of Hamilton.” Introduction by John Majewski, Dean of Humanities and Fine Arts, narrated the historical analysis; Linda Adler
Kassner, Interim Co-Dean of Undergraduate Education, framed the session within the context of campus learning and teaching; and students from the Theater and Dance performed the lost voices in Hamilton.

**Breakout B:**
“The Secret Life of Muslims: The Emmy & Peabody Award Nominated Web Series that Broke the Internet.” Introduction by Bhaskar Sarkar, Associate Professor and Chair Film and Media Studies, and presentation by Mona Damluji, (one of the web series producers) and Assistant Professor, Film and Media Studies.

**Business Meeting/Action Items**
- Minutes approved from previous meeting, May 20, 2017.
- **Nominations Committee** - Gary Wilcox
  - Nominations Committee met and discussed the following:
    - Reviewed the Board Service & Selection Criteria, reaffirmed the present criteria with no changes.
    - Preliminary conversation about building more diversity on the Trustee Board.
    - Discussed the new categories of Trustees: Honorary, Emeriti and Lifetime.
- **Investment, Finance & Audit Committees** - Eric Sonquist
  - Investment Committee:
    - Approved the resolutions delegating the authority to conduct business with banks and brokerage firms, real estate acquisition and sales, with custodial services and trust services.
    - Adopted standard resolution that says the Foundation will comply with University policy.
    - Approved resolution that delegates to the Investment Committee the authority and responsibility for oversight of the Foundation investment portfolios.
    - Approved new investment policy guidelines that incorporate the current general endowment pool policies and guidelines of the UC Regents.
  - Finance Committee:
    - Approved the Foundation budget for the current fiscal year, 2017-2018.
  - Audit Committee:
    - Announced on behalf of the Audit Committee that the annual audited financial statements and Independent Audit report for the Fiscal Year ending June 30, 2017 were approved and submitted in accordance with UC policy to the office of the President and to the Chancellors office. It is a clean audit and no management letter was submitted by the auditor.
  - Other Business:
    - It was announced that the amended Foundation Bylaws presented to the Board for consideration at the May 2017 Board Meeting had been approved unanimously via electronic voting. The new Bylaws are posted on the Board Portal.
- **Development & Donor Relations Committee** - Karen Coyne & Darryl McCall
  - Looking to increase the Planned Giving Donors from 38 to 45.
  - Looking to increase the Planned Giving from Trustees from 12 to 25.
  - Planned Giving education is needed; case by case need.
Encouraged more Trustees to come to Development & Donor committee meeting.

The 133rd Meeting of the Board of trustees was adjourned at 12:32 p.m.