A meeting of the Trustees of the UC Santa Barbara Foundation was held on Saturday, October 27, 2018 in Corwin Pavilion at the University of California, Santa Barbara, pursuant to the notice specified in the bylaws.

Trustees Attending:

John Amhold  Betty Elings Wells  Duncan Mellichamp
Richard Auhll  Gary Erickson  Steve Mendell
Laurie Bentson Kauth  Marc Grossman  Louise Pahl
Bamie Bergman  Norman Habermann  Conner Rehage
Mark Bertelsen  Thomas Hariman  Eric Sonquist
Richard Breaux  Roger Haughton  Fredric Steck
Gwen Brown  João Hespanha  Susan Tai
Paula Bruce  Judith Hopkinson  James Taylor
Dan Bumham  Blair Hull  George Thurlow
Marcy Case  Packy Jones  Anne Smith Towbes
Beverly Colgate  Brooke Kopel  Claudia Webster
Steve Cooper  Jack Krouskup  Marie Williams
Karen Bedrosian Coyne  Linda La Kretz Duttenhaver  Susan Worster
Steve Crowe  Marilyn Lee  Henry T. Yang
Len DeBenedictis  Carl Lindros  Chuck Zagar
Deanna Dehlsen  Mark Linehan  Bruce Wilcox
Tunç Doluca  Daryl McCall  Gary Wilcox

Trustees Not Attending:

David Adishian  Roy Hardiman  Richard Ryu
Janet Alpert  David Henke  Jean Schuyler
Sarah Argyropoulos  George Holbrook, Jr.  Mark Schwartz
Bob Ballard  Pete Jordano  Kenneth Slaught
Ed Birch  Michael Koch  Cierra Raine Sorin
Henning Bohn  Lillian Lovelace  Phil Spector
Ann Cady Cooper  John Marren  Judith Stapelmann
Bill Dinsmore  David Marshall  Mike Stewart
Diandra Douglas  Kathryn Mckee  Kent Vining
Robert Duggan  Pamela Meyer Lopker  Phil White
Glenn Duval  Mary Moslander  Bruce Wilcox
Scott Frank  Kristen Nesbit  Gary Wilcox
Elizabeth Gabler  Christopher Newfield  Dick Williams
<table>
<thead>
<tr>
<th>John Gemgross</th>
<th>Alex Pananides</th>
<th>Jeffrey Worthe, Jr.</th>
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<tr>
<td>Fred Gluck</td>
<td>Ceil Pulitzer</td>
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<td>Gus Gurley</td>
<td>Dianna Raab</td>
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<td>Eva Haller</td>
<td>Lady Leslie Ridley-Tree</td>
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**Special Guests & Speakers:**

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<tr>
<th>Kristin Antelman</th>
<th>Charles Hale</th>
<th>Alex Parraga</th>
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<tr>
<td>Renée Bahl</td>
<td>Judy Haughton</td>
<td>Anthony Pimental, UCSB 1st</td>
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<tr>
<td>Jonathan Bastian, musician</td>
<td>Taylor Henderson, UCSB 1st</td>
<td>Kevin Plaxo</td>
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<tr>
<td>Geri Bidwell</td>
<td>Cynthia Hull</td>
<td>Austin Richards, musician</td>
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<tr>
<td>Lars Bildsten</td>
<td>Jan Ilsens, athlete</td>
<td>Jonathan Schooler</td>
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<td>Celesta Billeci</td>
<td>Stacey Janik</td>
<td>Bruce Tiffney</td>
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<tr>
<td>Kathy Breaux</td>
<td>Margaret Klawunn</td>
<td>Robin M.G. Tiffney</td>
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<tr>
<td>Deepika Chandrashekar, UCSB 1st</td>
<td>Alperan Koliva, UCSB 1st</td>
<td>Karina Vicente</td>
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<tr>
<td>Jan Dunbar</td>
<td>John Lothhus</td>
<td>Carson Vom Steeg</td>
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<tr>
<td>Daniel Elkin, musician</td>
<td>Vicky Lopez, UCSB 1st</td>
<td>Alec Webster</td>
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<td>Gretchen Falvo</td>
<td>John Majewski</td>
<td>Lisa Wenger</td>
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<td>Carol Genetti</td>
<td>Jeffrey Milem</td>
<td>Pierre Wiltzius</td>
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<td>Alex Gorman, UCSB 1st</td>
<td>Adriana Mora, UCSB 1st</td>
<td>Marion Wittmann</td>
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<td>Alan Grosenheider</td>
<td>Michael Mazek</td>
<td>David Y. Wong</td>
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<td>Chuck Haines</td>
<td>Alissa Mazek</td>
<td>Bruce Worster</td>
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**Development Office and Foundation Staff Members:**

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<tr>
<th>Kelly Adams</th>
<th>Janice Hartoch Taylor</th>
<th>Beth Pitton-August</th>
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<tr>
<td>Cynthia Alexander</td>
<td>Lynn Hawks</td>
<td>Chris Pizzinat</td>
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<td>Vanessa Alvarez</td>
<td>Waid Ibrahim</td>
<td>Steve Ramirez</td>
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<td>Hallie Anderson</td>
<td>Venilde Jeronimo</td>
<td>Sandy Robertson</td>
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<td>Marcel Badart</td>
<td>Julie Karbula</td>
<td>Patricia Sadeghian</td>
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<td>Delene Bliss</td>
<td>Bryan Kemer</td>
<td>Percy Sales</td>
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<td>Brian Boyer</td>
<td>Nicole Klenfer</td>
<td>Tim Schwartz</td>
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<td>Catherine Boyer</td>
<td>Camille Kureck</td>
<td>Megan Shoemaker</td>
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<td>Polly Bustillos</td>
<td>Melodie Lee</td>
<td>Sarah Skich</td>
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<td>Deven Desai</td>
<td>Scott Lemere</td>
<td>David Silva</td>
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<td>Melinda Douglas</td>
<td>Marcie Marsh</td>
<td>Julie Sperling</td>
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<td>Rob Dunton</td>
<td>Kase Martins</td>
<td>Mehgan Tanner</td>
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<td>Leslie Edwards</td>
<td>Aditee Maskey</td>
<td>Jillian Tempesta</td>
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<td>Matt English</td>
<td>Ron McConnell</td>
<td>Rocio Torres</td>
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<tr>
<td>Brandi Fraser</td>
<td>Melissa Miller</td>
<td>Christian Treitler</td>
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<td>Matt Fratus</td>
<td>Kristi Newton</td>
<td>Rubi Trevino</td>
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<td>Brandon Friesen</td>
<td>Dan Oh</td>
<td>Lotus Vermeer</td>
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<td>Leslie Gray</td>
<td>Lisa Oh</td>
<td>Aisha Wedlaw</td>
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<tr>
<td>John Hammond</td>
<td>Ilana Omond</td>
<td>Julie Weiner</td>
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Chair’s Opening—Richard Breaux

- The chair called the meeting to order at 9:05 a.m.
  - Thank you to John Amhold for sponsoring the Monica Bill Bames Dance Company Friday night.
- Recognized excellence
  - Judith Hopkinson, received an Honorary Alumni Award.
  - Linda Duttenhaver, was awarded the Graver Alumni Service Award.
- Introduced Soccer players, Jan Ilksens and Carson Vom Steeg.
  - Men’s Soccer versus Cal Poly October 27, 2018; invited trustees to attend.
  - College Cup, December 7-9, 2018.

Introduced Video – Richard Breaux

Video – Trustees Alex Pananides H’06 and Jan Dunbar

Business Meeting/Action Items

- The minutes of the May 19, 2018 meeting were approved.

- Nominations Committee – Marcy Carsey
  - Reviewed Board Service and Selection Criteria, reaffirmed with no changes. Everyone will receive a copy for their own review in the winter 2019 board packet.
  - Preliminary conversation on potential new trustees for the term beginning July 1st, 2019.
  - Discussed new categories of trustees – Honorary, Emeriti, Lifetime; goal of fine-tuning the definitions and begin to populate this academic year.

- Investment, Finance & Audit Committees - Eric Sonquist
  - Corporate resolutions adopted at each meeting have been approved by respective committees and were unanimously approved by the board.
  - Adding a section of the Board Meeting website called resource documents including the Tax returns (public version), the budget of the Foundation, Audit Financial statements.
  - Investment Committee Resolutions.
    - June 30, 2018, 9.1% return on the portfolio from general endowment.
    - Approval of Endorsement of Documents and Signature Authority on all Investment, Banking, Financial Services, Trust and Custodial Accounts.
    - UC Santa Barbara Foundation Investment Policy Guidelines.
    - The Investment resolutions presented were approved by a vote of the full board.
  - Finance Committee
    - Approval of Endorsement of Documents and Signature Authority on Brokerage and Bank Accounts.
    - Approval of Compliance with University Policy
- Approval of Endorsement of Documents and Signature Authority on
  the Acceptance and Sale of Donations of Real Property.
- The Finance resolutions presented were approved by a vote of the
  full board.
  - Audit Committee - The Board formally accepted and approved the

- **Development & Donor Relations** - Darryl McCall
  - Identified the interests of the trustees and recommended what to present
    at future committee meetings.
  - Invited all trustees to attend.

**Executive Directors Report** - Beverly Colgate
- Reports
  - Reports created in house by development communications team:
    graphics, photography, writing, video, social media and web resulting in
    great cost savings.
- **2017-2018 Fiscal Year Re-Cap.**
  - $100M Raised in Private support.
  - Increased donations from Alumni and Friends.
  - Support from individual donors accounts for approximately 50%.
  - Foundation and corporate philanthropy ~50%.
  - Received $40M in gifts of over $1M.
  - Trustees collectively gave over $11M.
  - Sustain $10M per year for scholarships, fellowships and student awards.
  - Endowment continues to grow, need to focus on attracting more
    endowed gifts.
- **Business Retreat, September 27, 2018.**
  - Discussed ways to better diversify our board in ethnicity, gender and age.
  - Held discussions on communicating overall vision to support enhanced
    fundraising to reach $150M.
- **Fundraising Highlights.**
  - $31M raised so far in private support.
    - 7 single gifts over $1M, representing $20M.
    - $11M from committed donors.
  - Engaged with nationally recognized philanthropists.

**Chancellor's Report** - Henry T. Yang
- Thanks to Chair, Richard Breaux and Kathy Breaux for dynamic leadership.
- Thanks to all trustees for engagement, support, sharing vision and generosity.
- The board welcomes new elected trustees.
  - Leonard DeBenedictis ('62) - Co-founder, former CTO and board member,
    Reliant Technologies and Solta Medical; former CTO Zeltiq Aesthetics;
    friend, Kavli Institute of Theoretical Physics (Goleta)
Linda La Kretz Duttenhaver ('77) - President, Crossroads Management, Inc. and philanthropist; member, Board of Advisors, UCLA Institute of the Environment & Sustainability (Hermosa Beach)

Anne Smith Towbes - Philanthropist; community volunteer; former teacher, English and drama; board member, Towbes Foundation; community member, Taubman Foundation; ambassador and member, UC Santa Barbara Arts & Lectures Council (Santa Barbara)

Charles Zegar - Founding partner, Bloomberg Financial Markets; former vice president, Salomon Brothers; trustee, New York University, The Nature Conservancy - New York State, and Sustainability Accounting Standards Board (Santa Barbara and New York)

Alumni Awards Banquet - Honored trustees.
- Judith Hopkinson (H’18), Honorary Alumni Award.
- Linda La Kretz Duttenhaver ('77), Graver Service Award.

In memoriam - Alumnus Karl Lopker ('73), Cofounder of Deckers and QAD, he was a 1998 recipient of our Distinguished Alumni Award, wife Trustee Pamela Lopker ('77).

Transitions on Campus
- Professor Kathy Foltz, has returned to teaching and research; CCS Dean Bruce Tiffney has return as Interim Dean for one year.
- Administrative Services - Garry Mac Pherson has joined our campus as Vice Chancellor for Administrative Services.

Student Updates
- 2018 Commencement - We added 6,784 Gauchos to our alumni family, now more than 210,000 strong.
- 2018 Move-In Weekend.
- New Student Convocation – welcomed incoming students; Assembly member Monique Limón delivered the keynote address.

Fall 2018 Enrollment Update
- 92,000 freshman applications; 110,000 total.
- First-year students: ~5,200
  - Average GPA: 4.12
  - Average SAT: 1344
  - Underrepresented minorities: 30%
  - First-generation: 41%
- Transfer Students: ~2,500
- Among our incoming student body, 79% are from California, and 21% are from out of state and international.

Campus Updates and Highlights
- New Classroom Building project will provide 2,290 seats for our students
- North Hall Takeover 50th Anniversary.
- Edible Campus Program - supported in part by Jack and Kim Johnson, officially broke ground on October 10.
- Center for Innovative Teaching, Research, and Learning grand opening - Professor and Associate Dean Linda Adler-Kassner will serve as Faculty Director.
- $3.4M National Science Foundation Grant from the NSF’s Office of Advanced Cyberinfrastructure to improve scientific image processing -
Congratulations to Principal Investigator B.S. Manjunath, and co-Principal Investigators Tresa Pollock and Robert Miller.

- $1.67M Mellon Foundation Grant from the Andrew W. Mellon Foundation to increase student engagement, persistence, and success in humanities disciplines.
- $600,000 grant from Defense Department and Army Research Office - funds a Broadly-tunable Illumination Facility for Research, Outreach, Scholarship, and Training (BIFROST) lab for our physicists.
- National Endowment for the Humanities Grant - Bruce Robertson, Professor of History of Art & Architecture, and Director of the Art, Design & Architecture Museum, receives $327,000 NEH grant for renovation project.
- 2018 Frederic Ives Medal/Jarus W. Quinn Prize - Dean of Engineering Rod Alferness receives The Optical Society’s highest honor.
- 2018 National Book Award Finalist - Professor of Black Studies Jeffrey Stewart is a finalist for the prestigious 2018 National Book Award, for his biography of Alain Locke, “Father of the Harlem Renaissance.”
- Featured in Nature - September, Professor Otger Campas and Yan-Fei Jiang’s research were featured on the cover of Nature magazine.
- Arthur C. Cope Scholars Award is awarded to Professor of Chemistry Alison Butler for excellence in organic chemistry.
- Leo P. Kadanoff Prize is received by Professor of Physics Cristina Marchetti from American Physical Society.
- Marc Sanders Prize in Metaethics is received by Professor of Philosophy Dan Koman.
- 2019 E. Bright Wilson Award is received by Professor of Chemistry Martin Moskovits from the Division of Physical Chemistry of the American Chemical Society.
- DARPA Young Faculty Award, $1 million each to Associate Professor of Electrical & Computer Engineering Jonathan Klamkin and Assistant Professor of Computer Science William Wang.
- 2018 Packard Fellowship for Science and Engineering, $875,000 award is received by Assistant Professor of Physics David Patterson.
- Department of Energy Early Career Award, $750,000 is received by Assistant Professor of Mechanical Engineering Bolin Liao.
- All Gaucho Reunion – Save the Date April 25-28, 2019.

- Isla Vista Update
    - 9482, 40% UCSB Housing.
    - 9342, 40% Isla Vista.
    - 1717, 7% Santa Barbara.
    - 1328, 6% Goleta.
    - 1679, 7% Other, Education Abroad and Unknown.
Isla Vista Community Services District - funding a full-time sexual assault detective; a partnership with the CSD and UC Santa Barbara; hiring will be through the UC Santa Barbara Police Department.

Halloween 2018 - Preparations have been made to help ensure another safe, quiet Halloween weekend.

Appreciations

$100M in fundraising – thanks to our trustees for continued support.

Norris Presidential Chair investiture received by Professor David Valentine thanks to Trustee Claudia Webster (’75) and Alec Webster.

Gifts supporting Arts & Lectures.
- Dorothy Largay & Wayne Rosing - $250,000.
- Monica and Timothy Babich - $250,000.
- SAGE Publishing - $250,000.
- Audacious Foundation - $180,000.

Gifts supporting A&L 60th Anniversary season.
- Lady Leslie Ridley-Tree (H’12) to support Yo-Yo Ma and Silkroad - $210,000.
- Sara Miller McCune to support Joffrey Ballet and more - $200,000.
- Kick-Off event at the home of Sara Miller McCune with Wynton Marsalis and Jazz at Lincoln Center Orchestra, sponsored by Jody and Trustee John Arnhold (’75) and Sara Miller McCune.

William K. Bowes Jr Foundation - $3M to support the Garland Initiative for Vision Research Fund in our Neuroscience Research Institute.

Gordon and Betty Moore Foundation - $2.34M to support KITP Postdoctoral Fellows.

Estate of Dr. York T. Mandra - $2.7M to support the York and Highoohi Mandra Student Support Fund in Earth and Geological Sciences.

Trustee Louise (’77) & Stephen (’77) Pahl - $1.1M to establish the Pahl Initiative on the Study of Critical Social Issues in the Division of Social Sciences.

Catena Foundation - $525,484 and $515,384 to support Sustainable Water Market Program cohorts in our Bren School.

Dr. Shing Chang (’75) - $1M to support the Chang Family Fellowship in Molecular, Cellular & Developmental Biology.

Schmidt Family Foundation - $916,300 to support “Sequential Learning to Drive High Entropy Alloy Discovery” research in our Department of Materials.

Trustee Judith Stapelmann (’63, ’65) - $610,156 to support Sedgwick Reserve and $291,606 to support the Stapelmann Scholarship fund.

Trustee Lillian Lovelace - $550,000 to support the AD&A Museum ($275,000); capital enhancements at AD&A Museum ($225,000); and the Jon and Lillian Lovelace Endowed Fund for the Conservation and Programming of Artists’ Archives ($50,000).

Trustee Lady Leslie Ridley-Tree (H’12) - $500,000 to support our KITP Graduate Fellows Fund.

J & J Family Foundation - $500,000 to support out Institute for Energy Efficiency.

Alfred C. Munger Foundation - $500,000 to support KITP.
Ian G. Duncan - $423,328 to support the Janet and Ian Duncan Actuarial Science Endowed Fund.

Betty Elings Wells (H’10) - $400,000 to support North Campus Open Space and $11,221 to support Shoreliners Scholarship Endowment.

Dr. Shirley C. Tucker - $382,979 to support the Tucker Family Fund at the Cheadle Center for Biodiversity & Ecological Restoration.

Glenn Duval (’80) - $350,000 to support the KITP Graduate Fellowship Fund.

SAGE Publishing - $250,000 to support the A&L SAGE Education and Outreach Fund.

Danielle K. Storm Rosati (’77) - $250,000 to support the Humanities and Fine Arts Excellence and Innovation in Language Teaching.

Helen and Will Webster Foundation, Trustee Claudia (’75) and Alec Webster - $250,000 to support CCBER North Campus Open Space.

Trustee Howard (’82) and Lisa (’82) Wenger - $250,000 to support the Manalis Environmental Leadership Fund.

**Guest Speakers: “Administrative Services”**
- Introduction – Chancellor Henry T. Yang
- Presentation – Garry Mac Pherson, Vice Chancellor, Administrative Services
- Co-Presenter – Renée Bahl, Associate Vice Chancellor, Design, Facilities & Safety Services
- Trustee Q&A

**Guest Speaker: “The Division of Social Sciences”**
- Introduction – Chancellor Henry T. Yang
- Presentation – Dean Charles R. Hale, SAGE Sara Miller McCune Dean of Social Sciences
- Trustee Q&A

**Meeting Adjourned** - Chair, Richard Breaux

- At the conclusion of the business and special reports part of the meeting, the chair made a motion to adjourn.
- Motion was approved.
- Trustees had the option to go to the breakouts and lunch.

**The 136th Meeting of the Board of trustees was adjourned at 11:38 a.m.**

**Breakout A:**
“Santa Cruz Island Reserve: Critical Needs to Enhance Discovery and Learning through Science”
- Featuring – Trustee Packy Jones ’77, Dr. Marion Wittmann, Executive Director, UCSB Natural Reserve System and Isabel Rivera, UCSB Smithsonian Scholar

**Breakout B:**
“Center for Mindfulness and Human Potential’s Mindful Education Initiative - working to address the distraction, stress, and mental health issues that are rampant in schools”
- Featuring – Dr. Jonathan Schooler, Director; Dr. Michael Mrazek, Director of Research; and Dr. Alissa Mrazek, Post-Doctoral Fellow, Center for Mindfulness and Human Potential