UC SANTA BARBARA FOUNDATION

MINUTES
135th MEETING OF THE BOARD OF TRUSTEES
UC SANTA BARBARA FOUNDATION
Saturday, May 19, 2018
Corwin Pavilion

UC SANTA BARBARA
A meeting of the Trustees of the UC Santa Barbara Foundation was held on Saturday, February 24, 2018 in Corwin Pavilion at the University of California, Santa Barbara, pursuant to the notice specified in the bylaws.

**Trustees Attending:**

<table>
<thead>
<tr>
<th>John Arnhold</th>
<th>Linda Duttenhaver</th>
<th>Louise Pahl</th>
</tr>
</thead>
<tbody>
<tr>
<td>Laurie Bentson Kauth</td>
<td>Glen Duval</td>
<td>Conner Rehage</td>
</tr>
<tr>
<td>Barrie Bergman</td>
<td>Betty Elings Wells</td>
<td>Richard Ryu</td>
</tr>
<tr>
<td>Mark Bertelsen</td>
<td>John Gerngross, Jr.</td>
<td>Eric Sonquist</td>
</tr>
<tr>
<td>Edward Birch</td>
<td>Norm Habermann</td>
<td>Michael Stewart</td>
</tr>
<tr>
<td>Henning Bohn</td>
<td>Thomas Harriman</td>
<td>Susan Tai</td>
</tr>
<tr>
<td>Richard Breaux</td>
<td>Judith Hopkinson</td>
<td>James Taylor</td>
</tr>
<tr>
<td>Paula Bruice</td>
<td>Packy Jones</td>
<td>George Thurlow</td>
</tr>
<tr>
<td>Beverly Colgate</td>
<td>Peter Jordon</td>
<td>David Valentine</td>
</tr>
<tr>
<td>Ann Cady Cooper</td>
<td>Jack Krouskup</td>
<td>Claudia Webster</td>
</tr>
<tr>
<td>Stephen Cooper</td>
<td>Hieu Le</td>
<td>Howard Wenger</td>
</tr>
<tr>
<td>Karen Bedrosian Coyne</td>
<td>Marilyn Lee</td>
<td>Philip White</td>
</tr>
<tr>
<td>Stephen Crowe</td>
<td>Carl Lindros</td>
<td>Gary Wilcox</td>
</tr>
<tr>
<td>Deanna Dehlsen</td>
<td>Mark Linehan</td>
<td>Marie Williams</td>
</tr>
<tr>
<td>Tunç Doluca</td>
<td>David Marshall</td>
<td>Chancellor Yang</td>
</tr>
<tr>
<td>Diandra Douglas</td>
<td>Duncan Mellichamp</td>
<td></td>
</tr>
</tbody>
</table>

**Trustees Not Attending:**

<table>
<thead>
<tr>
<th>Ann Adams</th>
<th>Gus Gurley</th>
<th>Alex Pananides</th>
</tr>
</thead>
<tbody>
<tr>
<td>David Adishian</td>
<td>Eva Haller</td>
<td>Ceil Pulitzer</td>
</tr>
<tr>
<td>Janet Alpert</td>
<td>Roy Hardiman</td>
<td>Diana Raab</td>
</tr>
<tr>
<td>Sarah Argyropoulos</td>
<td>Roger Haughton</td>
<td>Lady Leslie Ridley-Tree</td>
</tr>
<tr>
<td>Richard Auhll</td>
<td>David Henke</td>
<td>Jean Schuyler</td>
</tr>
<tr>
<td>Robert Ballard</td>
<td>George Holbrook, Jr.</td>
<td>Mark Schwartz</td>
</tr>
<tr>
<td>Gwendolyn Brown</td>
<td>Blair Hull</td>
<td>Kenneth Slaught</td>
</tr>
<tr>
<td>Dan Burnham</td>
<td>Michael Koch</td>
<td>Phillip Spector</td>
</tr>
<tr>
<td>Marcy Carsey</td>
<td>Lillian Lovelace</td>
<td>David Stamps</td>
</tr>
<tr>
<td>William Dinsmore</td>
<td>John Marren</td>
<td>Judith Stapelmann</td>
</tr>
<tr>
<td>Robert Duggan</td>
<td>Darryl McCall</td>
<td>Fred Steck</td>
</tr>
<tr>
<td>Gary Erickson</td>
<td>Kathryn McKee</td>
<td>Kent Vining</td>
</tr>
</tbody>
</table>
Scott Frank  
Elizabeth Gabler  
Frederick Gluck  
Marc Grossman  

Steven Mendell  
Pamela Meyer Lopker  
Mary Moslander  
Kristen Nesbit  

Bruce Wilcox  
Richard Williams  
Susan Worster  
Jeffrey Worthe  

Elizabeth Gabler  
Marc Grossman  
Pamela Meyer Lopker  
Richard Williams  
Susan Worster  

Special Guests & Speakers:

Rod Alferness  
Kristin Antelman  
Rebecca Baker, student  
Kathy Breaux  
Lars Bildsten  
Ellen Bildsten  
Celest Billeci  
Deepika Chandrashekar, student  
Jim Dehlsen  
Neil Dolinski, student  
Kathy Foltz  
Rex Fukuchi, musician  

Alex Gorman, student  
Alperan Koliva, student  
Veronica Laos, student  
John Longbrake  
Sarah Lynch, student  
John Majewski  
John McCutcheon  
Jeffrey Milem  
Lisa Przekop  
Alex Parraga  
Harvey Schneider  
Lisa Stratton  

Danny Toomey, musician  
Karina Vicente, student  
Alec Webster  
Milo Weising, musician  
Trevor Weitzenberg, student  
Lisa Wenger  
Sue Wilcox  
Pierre Wiltzius  
David Wong  
Dilling Yang  

Development Office and Foundation Staff Members:

Cynthia Alexander  
Vanessa Alvarez  
Hallie Anderson  
Toni Arellanes-Miller  
Christina Baglas  
Barb Beainy  
Delene Bliss  
Brian Boyer  
Catherine Boyer  
Polly Bustillos  
Deven Desai  
Melinda Douglas  
Rob Dunton  
Matt English  
Andrea Estrada  
Gretchen Falvo  
Brandi Fraser  
Matt Fratus  
Brandon Friesen  
Leslie Gray  

John Hammond  
Janice Hartoch Taylor  
Lynn Hawks  
Waid Ibrahim  
Bethany Innocenti  
Stacey Janik  
Rachel Johnston  
Julie Karbula  
Stephen Kearns  
Bryan Kerner  
Nicole Klanfer  
Camille Kurreck  
Melodie Lee  
Scott Lemere  
John Loftus  
Dana Loughlin  
Marcie Marsh  
Aditee Maskey  
Holly McCord Duncan  
Melissa Miller  

Kristi Newton  
Dan Oh  
Lisa Oh  
Ilana Ormond  
Beth Pitton-August  
Chris Pizzinat  
Steve Ramirez  
Sandy Robertson  
Patricia Sadeghian  
Percy Sales  
Megan Shoemaker  
David Silva  
Julie Sperling  
Mehghan Tanner  
Rocio Torres  
Christian Treitler  
Rubi Trevino  
Lotus Vermeer  
Julie Weiner
Chair’s Opening—Richard Breaux

- The chair called the meeting to order at 9:05 a.m.
  - Thanked the morning musicians, Rex Fukuchi, Milo Weising, and Danny Toomey.
  - Thanked John & Jody Arnhold for sponsoring the Thursday night Arturo O’Farrill and the Afro Latin Jazz concert.
  - Recognized Gary & Sue Wilcox for their work on the Wilcox New Venture Incubator for young entrepreneurs.
  - Recognized Dean Rod Alferness for hosting the Friday night event, New BioEngineering building for research and teaching.
  - Thanked Beverly Colgate, Brandi Fraser, Melinda Douglas and the development staff for board meeting preparations.

- Recognized excellence:
  - Darryl McCall, honored by the College of Engineering as alumni of the year for 2018 for volunteer work and philanthropic efforts in the College of Engineering.
  - Tunç Doluca also received the same award 10 years ago.
  - Philip White named to the University of California trust board UK. The board supports social and educational opportunities for UC alumni and friends in the UK and in Europe.
  - Gaucho Athletic teams who won Big West titles this year: Women’s Cross Country, Men’s Swimming, and Men’s Tennis.
  - Thanked Dean Jeff Milem, for his diversity presentation on Friday.

- Reviewed the planned giving goals over next two years:
  - 17 current trustees committed gifts, increase to 25.
  - 45 new estate gifts throughout, 22 to date.

Business Meeting/Action Items

- The minutes of the February 23, 2018 meeting were approved.

- **Nominations Committee** - Gary Wilcox
  - The Nominations Committee conducted meeting by phone on Thursday May 17, 2018 and approved the following resolutions:
    - Resolution, four new trustees recommended, Len DeBenedictis, Anne Smith Towbes, Linda La Kretz Duttenhaver, and Chuck Zegar.
    - Resolution, re-election of trustees.
    - Resolution, to renew officers.
      - Marcy Carsey, Nominations Chair.
      - John Arnhold, Vice Chair Investment.
      - Duncan Mellichamp, Vice Chair of Development.
      - Darryl McCall, Vice Chair Donor Relations.
      - Steve Mendell, Secretary.
      - Beverly Colgate, Executive Director.
      - Eric Sonquist, Chief Financial Officer.
    - The resolutions were unanimously approved by the board.
• Investment, Finance & Audit Committees – Eric Sonquist
  o Investment Committee Resolutions:
    ▪ Approval of Endorsement of Documents and Signature Authority on all Investment, Banking, Financial Services, Trust and Custodial Accounts.
    ▪ UC Santa Barbara Foundation Investment Policy Guidelines.
    ▪ The resolutions presented were approved by a vote of the full board.
  o Finance Committee Resolutions:
    ▪ Approval of Endorsement of Documents and Signature Authority on Brokerage and Bank Accounts.
    ▪ Approval of Compliance with University Policy.
    ▪ Approval of Endorsement of Documents and Signature Authority on the Acceptance and Sale of Donations of Real Property.
    ▪ The resolutions presented were approved by a vote of the full board.
  o Finance/Investment Committees:
    ▪ First 9 months of the year, the portfolio is up 7.2%.
    ▪ Approval of the annual budget, adopted by the committees, balanced budget, maintains an economic reserve of $475,000 which is the board policy minimum.
    ▪ The actions of the committees on the budget, approved by the full board.
  o Audit Committee:
    ▪ Tax returns were filed.

• Development & Donor Relations Committee – Karen Bedrosian Coyne
  o Beverly Colgate gave a report on fundraising year to date.
  o Melinda Douglas gave a report on Give Day 2018.
  o Two Focus Groups discussions:
    ▪ CCS 50th Anniversary, undergraduate fund.
  o Karen Bedrosian Coyne stepping down from Development Vice-chair after three years. Duncan Mellichamp will fill this role 2018-2019.

Executive Director’s Report – Beverly Colgate
• Fundraising Highlights:
  o Successful Give Day 2018, thanks to trustees for all their support.
  o Fiscal Year 2018 Total: $86.4M to date in private support with gifts pending.
  o Fourth quarter has been very productive, close to $100M goal.
  o 19 leadership gifts, each over $1M.
  o Seven endowed chairs, $73M in program support.
  o Giving from individuals, corporations, and foundations.
  o Scholarships, awards and fellowships, $7M raised to date.
  o Trustees support over $8M this year to date, with 95% trustee giving participation.
• Planned Giving:
  o 17 gifts with goal to, secure 25 commitments by June 2019.
  o Encouraged trustees to advise UCSB if in will or have other planned gifts in place.
• Student Philanthropy:
  o UCSB First promote giving back.
  o Manage an endowment of close to $300,000 in private support, used for grants
    and student organizations.
  o Hosted over two thousand senior students for a senior breakfast, with thanks to
    Susan Worster and Dilling Yang for their help.

• Give Day 2018:
  o Thanks to trustees and the campus for their support of Give Day.
  o Secured $5.6M from 1,511 donors with 40% of donors being first time donors to
    campus.
  o Majority of gifts from Alumni.
  o 1.4M people received UCSB messaging through social media reaching out
    globally.
  o Special thanks to trustees who were on campus and who committed matching,
    challenge and outright gifts.
  o Recognized Louise Pahl for her support in the planning phase.

• Video – The story of Give Day 2018

Chancellor’s Report—Henry T. Yang

• Admissions Update:
  o Fall 2018 Admissions Update (based on SIRs).
  o 92,307 freshman applicants (up 12.8% from last year).
  o 32% admission rate.
  o 5,529 total SIRs (4,289 CA residents; 1,240 non-residents).
    ▪ Note: Expected Fall ‘18 enrollment is 3,920 CA residents and 1,025 non-
     residents after the summer.
  o Average GPA: 4.13 (up from 4.08 last year).
  o Average SAT: 1326 (up from 1286 last year).
  o 35% underrepresented minorities.
  o 41% first-generation four-year college students.
  o 78% California residents.
  o Fall 2017 Undergraduate Nonresident Enrollment Percentages on UC Campuses.
    ▪ UC Santa Barbara Percentage of Undergraduate Nonresidents: 16.2%.
    ▪ UC Santa Barbara Number of Undergraduate Nonresidents: 4,060.
    ▪ UC Santa Barbara Total Number of Undergraduates: 25,057.

• Campus Updates & Highlights:
  o Professor Jeffrey Stopple appointed Dean of Undergraduate Education in the
    College of Letters and Science, and Associate Vice Chancellor for Undergraduate
    Education.
  o Thanks to Jeff and Professor Linda Adler-Kassner for serving as Interim Co-Deans
  o Assembly member Jacqui Irwin’s Visit
    ▪ On March 16, Assembly member Jacqui Irwin visited our Gary and Sue
     Wilcox New Venture Incubator.
- Sponsor of AB 2664, “University of California: Innovation and Entrepreneurship Expansion.”

  o Shirley Kennedy Papers
    - On April 10, the Kennedy Family joined us to celebrate the donation of the Shirley Kennedy papers to our Library’s Special Collections.
    - Dr. Kennedy was a Lecturer in our Department of Black Studies, and a powerful voice for civil rights and social justice in our community.

  o Lois and Walter Capps Archive
    - On May 10, we celebrated the donation of the archive of Lois and Walter Capps to our Library’s Special Collections.
    - Rep. Lois Capps served for 17 years in Congress. Professor Walter Capps was part of our Religious Studies faculty for 33 years, teaching his legendary course on “The Impact of the Vietnam War on American Religion and Culture,” before being elected to the U.S. House of Representatives in 1997.

  o Herbert C. Kay Collection:
    - Thank you again to Philip Kay and family for the donation of the Herbert C. Kay Collection to our Library’s Special Collections.
    - This gift honors Philip’s brother, Herb Kay, a beloved member of our Economics faculty in the mid-1960s.
    - The collection includes historically important American Civil War letters, books and other materials.

  o First Annual UCSB Arts Walk:
    - On April 11, the creative talents of our UC Santa Barbara community were showcased in an evening of special performances and gallery open houses.
    - Sponsored by our Departments of Music, Theater & Dance, and Art; College of Creative Studies; Art, Design & Architecture Museum; MultiCultural Center; and Library.

  o Spring Insight Open House:
    - We welcomed thousands of prospective students and their families to campus on April 14.

  o Grad Slam 2018:
    - Thank you to Towbes Graduate Dean Carol Genetti and all of our participants in this year’s Grad Slam.
    - Congratulations to grand prize winner Mengya Tao of the Bren School.

  o Alumni Association:
    - Thank you to Assistant Vice Chancellor George Thurlow for our Alumni Day of Service on February 24 and All Gaucho Reunion on April 26-29.

  o Arts & Lectures Benefit Event, May 9 with Anthony Bourdain – auctioneers Dan Burnham & Eric Sonquist.

  o National Academy of Sciences:
    - Congratulations to Professor Lars Bildsten, Frederick W. Gluck Chair in Theoretical Physics and Director of our Kavli Institute for Theoretical Physics, on his election to the National Academy of Sciences.

  o American Academy of Arts & Sciences:
Congratulations to our newly elected members of the American Academy of Arts & Sciences:
  • Professors Leon Balents (Physics and KITP).
  • Craig Hawker (Heeger Chair in Interdisciplinary Science and Clarke Professor and Director of CNSI).
  • Ed Telles (Sociology).

Ocean Awards 2018:
  • The Science Award: Professor Ben Halpern, Director of NCEAS - Lead author of pioneering report on limits of Earth’s resources.
  • From Boat International and the Blue Marine Foundation.

Recent Awards in the Humanities:
  • Patricia Fumerton, English, NEH grant for English Broadside Ballad Archive.
  • Giuliana Perrone, History, UC President’s Research Fellowship.
  • Sherene Seikaly, History, UC President’s Research Fellowship.
  • Swati Chattopadhyay, History of Art, & Architecture Fellow, Society of Architectural Historians.
  • Laura Kalman, History, David J. Langum Sr. Prize in American Legal History for *The Long Reach of the Sixties: LBJ, Nixon, and the Making of the Contemporary Supreme Court*.
  • Erika Rappaport, History, Book Prize at Pacific Coast Conference on British Studies for *A Thirst for Empire: How Tea Shaped the Modern World*.

2018 Oliver Johnson Award:
  • Congratulations to Professor and Trustee Duncan Mellichamp, co-recipient of the 2018 Oliver Johnson Award for Distinguished Leadership in the Academic Senate, to be awarded on July 25 by the Academic Council and Assembly of the UC Academic Senate.
  • Duncan and Suzanne Mellichamp have supported the establishment of 16 endowed chairs at UC Santa Barbara.

Isla Vista Update:
  • Deltopia 2018:
    • *Independent*: “Chill Deltopia in Warm Temperatures Citations, Arrests Drop in Comparison to Past Years.”
    • *Noozhawk*: “No Major Problems Reported in Isla Vista’s ‘Deltopia’ Street Party.”
    • Campus: (Vince Staples & Baauer concert), citations and arrests down from last year, medical transport up slightly due to alcohol.

Budget Update:
  • Governor’s May Budget Revised:
    • 3% base budget adjustment of $92.1 million in State General Funds (based on prior agreement, UC had expected 4% increase).
    • UCOP and UC Path are separate line items and are funded at FY 2017-18 levels.
- Budget assumes UC retains $50 million that was made conditional in FY 2017-19 budget following state audit.
- No enrollment growth funding.
- $100 million in one-time funds for deferred maintenance.
- On April 29, UC announced that the Regents have postponed voting on tuition increases, and will instead continue to lobby for full funding by the state.
- The Governor’s May Budget Revise adds language authorizing the Director to reduce UC’s primary appropriation by the amount of estimated Cal Grant and Middle Class Scholarship program cost increases should a tuition increase be implemented in 2018-19.
  - Chancellor’s Staff Initiative:
    - Allocated 60 new core-funded staff FTE positions across all divisions.
    - 90 more new staff positions will be funded from 2019 to 2022.
  - Chancellor’s Advisory Task Force on Childcare:
    - In December, the Chancellor’s Advisory Task Force on Childcare was announced, co-chaired by Professor Kum-Kum Bhavnani and Senate Chair Henning Bohn.
    - This task force will assess the state of childcare on our campus and propose solutions, with the goals of addressing short-term needs and developing a long-term vision.
    - We will mount a capital campaign to help materialize the recommendations.

- **Appreciations:**
  - Jane Gottlieb, $5M bequest: $3M endowment for the Director of the Art, Design & Architecture Museum and $2 million endowed fund for Visual Arts.
  - Anonymous, $4.7M, to support the World Economic Forum Fund, linking ocean scientists with global leaders to translate research into action.
  - The Simons Foundation, $1.5M, to support the RNA research of Professor Irene Chen, Department of Chemistry & Biochemistry.
  - Heising-Simons Foundation, $1.2M, to support the Quantum Brain research project led by Professor Matthew Fisher, Department of Physics.
  - Bentson Foundation, Trustee Laurie Bentson Kauth (’69) & Bill Kauth (’78), $1M, for Aquatic Biology scholarships and student support, Department of Ecology, Evolution & Marine Biology.
  - Hellman Fellows Fund, $675,000, for the Hellman Fellowship Program for junior faculty.
  - T.A Barron, $500,000, to establish the T. A. Barron Endowed Environmental Leadership Fund.
  - Edward & Della Thome Memorial Foundation, $500,000, to support the Alzheimer’s research of Harriman Professor of Neuroscience Ken Kosik, Department of Molecular, Cellular, and Developmental Biology.
  - Erck and Cristina Rickmers, $500,000, to support the International Center for the Humanities and Social Change.
  - Trustee John (’75) and Jody Arnhold, $425,000, to Arts & Lectures to support three major residencies and student ticket subsidies.
The Nature Conservancy, $400,000, to support the NCEAS research project “Science and Nature for People”.

Eric and Dana Newman, $382,500, Gift in kind of 35 photographs by Roger Ballen to the Art, Design & Architecture Museum.

Dorothy Largay & Wayne Rosing, $300,000, to support Arts & Lectures programs.

Monica & Timothy Babich, $250,000, to support Arts & Lectures.

Anonymous, $250,000, Matching gift to support the Zhu Chair in Computer Science.

New Venture Fund, $250,000, to support the research of Professor Hahrie Han on “Making Participation Possible, Probable, Powerful,” Institute for Social, Behavioral & Economic Research (ISBER).

- **Endowed Chairs Celebrations, 2017-18:**
  - Professor Frank Davis, La Kretz Center Director Chair (November 3).
  - Professor Leon Balents, Pat and Joe Yzurdiaga Endowed Chair in Theoretical Physics (November 28).
  - Professor Carlos Levi, Mehrabian Endowed Chair in Materials (December 5).
  - Professor Joe Walther, Bertelsen Presidential Chair in Technology and Society (February 22).
  - Professor Rich Wolski, Duval Family Presidential Chair in Energy Efficiency (April 30).
  - Professor Linda Petzold, Mehrabian Endowed Chair in the College of Engineering (May 10).
  - Professor Ben Olguín, Robert & Lisa Erickson Presidential Chair in English (May 14).
  - Professor Enda Duffy, John P. Arnhold Presidential Chair in English (May 14).

- **Special Thanks:**
  - Thanks to Linda Duttenhaver and Morton La Kretz, La Kretz Research Center on the Sedgwick Reserve.
  - Thanks to Joe and Pat Yzurdiaga.
  - Thanks to Mark and Susan Bertelsen, and President Janet Napolitano.
  - Thanks to Robert and Victoria Mehrabian.
  - Thanks to Glenn and Bettina Duval, and President Janet Napolitano.
  - Thanks to John and Jody Arnhold.

**Guest Speaker: “UC Santa Barbara Visual Identity”**

*Introduction – Richard Breaux*

*John Longbrake, Associate Vice Chancellor, Office of Public Affairs and Communications*

**Guest Speaker: “The Kavli Institute for Theoretical Physics (KITP), at UC Santa Barbara: Sustaining Exploration and International Impact”**

*Introduction – Chancellor Henry T. Yang*

*Dr. Lars Bildsten, KITP Director, The Kavli Institute for Theoretical Physics*

Trustee Q&A

**Meeting Adjourned—Chair, Richard Breaux**
- At the conclusion of the business and special reports part of the meeting, the chair made a motion to adjourn.
- Motion was approved.
- Trustees had the option to go to the breakouts and lunch.

**The 135th Meeting of the Board of trustees was adjourned at 11:30 a.m.**

**Breakout Sessions:**

**Breakout A: “North Campus Open Space (NCOS): Conservation in Action”**
Featuring – Trustee Duncan Mellichamp, and Dr. Lisa Stratton, Director, Ecosystem Management, Cheadle Center for Biodiversity & Ecological Restoration (CCBER)

**Breakout B: “Grad Slam 2018”**
Featuring – Dr. Carol Genetti, Anne and Michael Towbes Graduate Dean, and several graduate-students